

Appendix A

	Cumulative to Date				Full Year						RAG	Risk	Activity level Medium/High risk budgets (COMMENTARY)
	Budget	Actual	Use of reserves	Variance after reserves	Approved Budget	Forecast Outturn	Forecast Variance	Proposed use of Earmarked reserves	Outturn Variance after use of earmarked reserves.	Outturn as % of Budget			
	£000	£000	£000	£000	£000	£000	£000	£000	£000				
<b>Director of Social Care, Health, Housing</b>	95	118		23	190	220	30		30	16%	red	Low	Unachieved MVF
<b>Housing Standards, Advice and Assistance</b>	758	675	0	-83	1,516	1,450	-66	0	-66	-4%	green		
Prevention, Options & Inclusion (GF)	435	353		-82	870	815	-55		-55	-6%	green	High	Projected underspend on Housing Needs pay budget
Private Sector Housing Options (GF)	326	299		-27	651	640	-11		-11	-2%	green	Medium	Increased DFG fee and some salary savings
Housing Management (GF)	-3	23		26	-5	-5	0		0	0%	green	Low	Repairs and maintenance budget fully spent by Q1 (possibility that some may be capitalised at year end).
<b>Adult Social Care</b>	<b>29,343</b>	<b>29,346</b>	<b>-277</b>	<b>-274</b>	<b>58,590</b>	<b>59,990</b>	<b>1,400</b>	<b>-554</b>	<b>846</b>	<b>1%</b>	<b>amber</b>		
Asst Director Adult Social Care	-124	-54	-50	20	-247	10	257	-100	157	-64%	amber	Low	Projected overspend on pay due to use of interim E46k, E50k unachieved admin saving, full use of additional NHS grant of E860k forecast, Reserve of E81k available for hospital DoLS. E100k from reserve re Pressures contribution.
Older People and Physical Disability Mgt	257	188		-69	514	384	-130		-130	-25%	amber	Low	Projected under spend reflects care package contingency released
Older People - Day Care	284	230		-54	568	470	-98		-98	-17%	amber	Low	Underspend on Superannuation due to less than 100% take-up. Saving on deleted day care mgmt post E42k
Enablement	925	600	-51	-376	1,849	1,387	-462	-102	-564	-31%	amber	Low	Underspends due to vacancies on reablement teams E483k, support planners E31k and the intermediate care team E51k
OPPD - Care Management Generic	582	607		26	1,163	1,083	-80		-80	-7%	green	High	Projected underspend on OT service reflects unbudgeted funding of E82k from Children with Disabilities
OPPD - Care Management North	6,972	7,048		76	13,898	13,975	77		77	1%	green	High	E5+ projected overspend on packages E1.5m Phys Dis packages projected underspend of E328k.
OPPD - Care Management South	6,611	7,203		592	13,192	14,384	1,192		1,192	9%	amber	High	See above
LD & MH Management	205	134		-71	409	400	-9		-9	-2%	green	Low	Year-to-date underspend reflects vacancies being recruited to currently
Under 65 Mental Health Packages	379	404		26	749	1,056	307		307	41%	red	High	Pressure from additional residential placements agreed at Panel+ further new placements assumed + additional direct payments
Learning Disabilities - Assessment and Care Mgmt	8,074	7,986	-176	-264	16,138	16,544	406	-352	54	0%	green	High	Shortfall in OLA income E388k (mainly use of Linsell by LBC). Care packages E145k under spend projected after the use of the OR reserve (E352k) - including all expected transitions and recent emergency placements. Projected underspend of E191k on SW salaries.
Learning Disabilities - Direct Services	1,933	1,809		-124	3,866	3,698	-168		-168	-4%	green	Low	High St Sandy E75k under, Biggleswade Centre E46k under, Workbase E88k under - no. of vacancies, Walkers Close E45k over, Townsend E40k over. Day care underspends reflect their contribution to efficiency targets and Supported Living underspends reflect restructure of the service.
Sheltered Employment	40	47		7	80	103	23		23	29%	red	Low	Loss of external subsidy for supported employment placements. E50k vired from care packages to partially address the shortfall
Emergency Duty Team	92	91		-1	184	185	1		1	1%	green	Medium	On budget
BUPA	3,114	3,053		-61	6,227	6,311	84		84	0%	green	Medium	2% contract uplift assumed for 2013/14. E107k shortfall in income from OLAs
<b>Commissioning</b>	<b>6,728</b>	<b>6,612</b>	<b>-28</b>	<b>-144</b>	<b>13,264</b>	<b>13,289</b>	<b>25</b>	<b>-139</b>	<b>-114</b>	<b>-1%</b>	<b>green</b>		
Asst Director Commissioning	-137	78		215	-274	135	409		409	-149%	amber	Low	Commissioning efficiencies target of E387k held here but achievement reported below - virements pending
Contracts	2,261	2,174		-87	4,329	4,352	23		23	1%	green	Low	Small variances against most contract lines - Meals E37k under, Deaf Services SLA E52k under, MH S75 net overspend of E71k re unachieved efficiency, forecast overspend of E97k on Equipment Pool due to demand, IMC underspend of E27k
LD Transfer	2,535	2,451		-84	5,070	4,875	-195		-195	-4%	amber	Medium	Remaining budget mainly block contracts with two major providers, projected under spend on Preserved Rights
Housing Support Services	1,197	1,236		39	2,394	2,321	-73		-73	-3%	amber	Medium	Expected contract savings of E73k
Substance Misuse Packages	53	34		-19	105	43	-62		-62	-59%	amber	Low	Only remaining ASC budget to be placements which are forecast on budget
Contracting	347	235	-28	-140	694	654	-40	-139	-179	-26%	amber	Low	Campus Closure reserve use forecast at E139k. Forecast underspend on pay of E91k due to vacancies. Dementia premium budget of E200k held here - E84k under spend forecast
Personalisation	218	197		-21	436	439	3		3	1%	green	Low	
Commissioning	255	207		-48	510	470	-40		-40	-8%	green	Medium	Underspends due to vacancies E31k
<b>Business and Performance</b>	<b>-3,807</b>	<b>-4,041</b>	<b>-214</b>	<b>-449</b>	<b>-7,665</b>	<b>-7,460</b>	<b>205</b>	<b>-468</b>	<b>-263</b>	<b>3%</b>	<b>amber</b>		
Asst Director Business & Performance	99	295	-214	-18	199	679	480	-468	12	6%	amber	Low	E142k forecast use of Social Care Reform reserve, E326k projected use of Outcomes Based Commissioning reserve
Business Systems	471	535		65	941	1,001	60		60	6%	amber	Low	Overspend on Customer Finance pay E50k (incl. unachieved MVF of E23k), Brokerage E17k and Blue Badges E11k
Partnerships and Performance	474	377		-97	949	898	-51		-51	-5%	green	Low	
Customer Contributions	-4,850	-5,248		-398	-9,754	-10,038	-284		-284	3%	amber	Medium	Shortfall on Telecare income E91k, house sales income E448k and nursing care income E174k, overachieved residential income E878k and Fairer Charging E210k
<b>Sub-total Social Care, Health and Housing General Fund</b>	<b>33,117</b>	<b>32,710</b>	<b>-519</b>	<b>-926</b>	<b>65,895</b>	<b>67,489</b>	<b>1,594</b>	<b>-1,161</b>	<b>433</b>	<b>0.66%</b>	<b>green</b>		

## Appendix B

### **Commentary for EIG Report - to explain latest forecast, key risks, any variances and compensatory savings to plug shortfall:**

Social Care, Health & Housing - the SCHH efficiency target for 2013/14 is £4.734m

All efficiency workstreams are being actively managed. The major risk areas are identified as follows:-

EA51 reduction in residential care - £0.869m shortfall

EA74 Review of care packages within Learning Disabilities - £0.124m shortfall

Other efficiencies are over-achieving and are partially offsetting these overspends notably:

EA46 development of a Joint Approach with Health - £0.388m over-achieved

EA44 renegotiate high cost learning and physical disability packages - £0.226m over-achieved

## Appendix C

### Earmarked Reserves - 2013/14 September

Description	Opening Balance 2013/14	Planned Transfer to Reserves	Spend against reserves	Release of reserves	Realignment of reserves	Proposed Closing Balance 2013/14
	£000	£000	£000	£000	£000	£000
<b>Social Care Health and Housing General Fund Reserves</b>						
Social Care Reform Grant	187	0	142	0		45
Deregistration of Care Homes	433	0	352	0		81
Deprivation of Liberty in hospitals	81	0	0	0	0	81
LD Campus Closure	421	0	139	0		282
Reablement 10/11	100	0	100	0	0	0
Winter Pressure	152	0	102	0	0	50
Outcome Based Commissioning	3,067	0	326	0	0	2,741
Mental Health Action Plan	138	0	0	0		138
Step up/Step down	490	0	0	0		490
<b>GRAND TOTAL</b>	<b>5,069</b>	<b>0</b>	<b>1,161</b>	<b>0</b>	<b>0</b>	<b>3,908</b>

## Appendix D

### DIRECTORATE DEBT AT 30th SEPTEMBER 2013

	Total Debt	Amt. Due	Amt. Overdue	From 1 to 30 Days	From 31 to 60 Days	From 61 to 90 Days	From 91 to 365 Days	Over 1 year
<b>CBC Debt</b>								
Charges on property (residential and nursing) - Legacy	598,898	0	598,898	0	0	0	0	598,898
Charges on property (residential and nursing) - CBC	1,627,392	14,299	1,613,093	65,874	103,373	87,957	319,116	1,036,772
Health Service	894,411	104,146	790,265	208,239	521,769	0	60,256	0
General	1,620,101	373,845	1,246,255	138,352	66,631	66,898	596,392	377,982
	<b>4,740,802</b>	<b>492,290</b>	<b>4,248,512</b>	<b>412,465</b>	<b>691,774</b>	<b>154,856</b>	<b>975,765</b>	<b>2,013,652</b>
		<b>10.38%</b>	<b>89.62%</b>	<b>8.70%</b>	<b>14.59%</b>	<b>3.27%</b>	<b>20.58%</b>	<b>42.47%</b>

### ANALYSIS OF GENERAL DEBT BY VALUE, AGE AND VOLUME

Debt profile	>£100K	>=£50K	>=£10K	>=£1K	<£1K	Total	Age Ratio
No of debtors		1	1	29	201	1,400	1,632
Amt Due	0	6,760	36,797	134,750	195,538	373,845	23%
1-30 days	0	0	85,525	21,485	31,342	138,352	9%
2 months	0	0	25,630	39,676	1,326	66,631	4%
3 months	0	1,023	32,272	31,014	2,589	66,898	4%
3-12 months	115,140	58,430	230,928	172,540	19,354	596,392	37%
> 12 months	0	0	183,690	175,330	18,962	377,982	23%
<b>Total Debt</b>	<b>115,140</b>	<b>66,213</b>	<b>594,841</b>	<b>574,794</b>	<b>269,111</b>	<b>1,620,101</b>	<b>100%</b>